

EU-884-834-873-US

PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>2003-1959-ORI</b>	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor <b>Hiroyuki TAKAKI</b>	
		Title <b>Production Process for Hydroxyalkyl (Meth)Acrylate</b>	
		Express Mail Label <b>EU-884-834-873-US</b>	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop Patent Application P. O. Box 1450 Alexandria VA 22313-1450	
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g., PTO/SB/17)</b> <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> <b>Specification</b> <span style="float: right;">[Total Pages <b>43</b>]</span> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input type="checkbox"/> <b>Drawing(s)</b> <span style="float: right;">[35 U.S.C. 113] [Total Sheets <b>0</b>]</span> 5. <b>Oath or Declaration</b> <span style="float: right;">[Total Pages <b>3</b>]</span> a. <input checked="" type="checkbox"/> <b>Newly executed (original or copy)</b> b. <input type="checkbox"/> <b>Copy from a prior application (37 CFR 1.63(d))</b> <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76		7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b> 8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b> b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b> ii. <input type="checkbox"/> <b>Paper</b> c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b>	
<b>ACCOMPANYING APPLICATION PARTS</b>			
9. <input checked="" type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b> 10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> <input type="checkbox"/> <b>Power of Attorney</b> <i>(when there is an assignee)</i> 11. <input type="checkbox"/> <b>English Translation Document</b> <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b> <input checked="" type="checkbox"/> <b>Copies of IDS Citations</b> 13. <input type="checkbox"/> <b>Preliminary Amendment</b> 14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> <i>(Should be specifically itemized)</i> 15. <input checked="" type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <i>(foreign priority is claimed)</i> 16. <input type="checkbox"/> <b>Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</b> 17. <input checked="" type="checkbox"/> <b>Other:</b> 1) Certificate of Mailing via Express Mail; and 2) Check for \$790.00.			
18. If a <b>CONTINUING APPLICATION</b> , check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ Prior application information: Examiner _____ Group / Art Unit: _____ <b>For CONTINUATION OR DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> <b>Customer Number or Bar Code Label</b> <span style="float: right;">22476</span> or <input checked="" type="checkbox"/> <b>Correspondence address below</b> <i>(Insert Customer No. or Attach bar code label here)</i>			
<b>Name</b>	<b>Robert J. Jacobson</b> <b>Haugen Law Firm PLLP</b>		
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		<b>Fax</b>	<b>612-339-8200</b>

Name (Print/Type) **Robert J. Jacobson**Registration No. (Attorney/Agent) **32,419**

Signature

Date **08/01/2003**

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application forms to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT  $\times > \$$  **\$790.00**

## Complete if Known

Application Number **OF EVEN DATE**  
 Filing Date **OF EVEN DATE**  
 First Named Inventor **Hiroyuki TAKAKI**  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No. **2003-1959-ORI**

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																									
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number <b>50-0789</b> Deposit Account Name <b>Haugen Law Firm PLLP</b>		<b>3. 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Name (Print/Type)	Robert J. Jacobson	Registration No. (Attorney/Agent)	32,419
Signature		Telephone	612-339-8300
		Date	August 1, 2003

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## PATENT APPLICATION

Our File No.: 2003-1959.ORI

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Nippon Shokubai Co., Ltd.  
S.N.: OF EVEN DATE  
Filed: OF EVEN DATE  
For: PRODUCTION PROCESS FOR HYDROXYALKYL (METH)ACRYLATE

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CERTIFICATE OF MAILING VIA EXPRESS MAIL

Mail Stop Patent Application  
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Sir:

I hereby certify that the attached patent application consisting of the following papers is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on August 1, 2003 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, under Express Mail Post Office to Addressee Label No. EU-884-834-873-US:

1. This Certificate Of Mailing Via Express Mail dated 08/01/03 (one page);
2. Utility Patent Application Transmittal dated 08/01/03 (one page plus duplicate);
3. Fee Transmittal for FY 2003 dated 08/01/03 (one page plus duplicate);
4. Check in the amount of \$790.00;
5. Application Data Sheet (three pages);
6. Written Description (pages 1-40);
7. Claims (pages 41-42);
8. Abstract (page 43);
9. No drawings;
10. Declaration (three pages);
11. Certified Copy of JP2002-234630 dated 08/12/02;
12. Recordation Form Cover Sheet dated 08/01/03 (one page);
13. Assignment of Invention (two pages);
14. Information Disclosure Statement dated 08/01/03 (three pages);
15. PTO-1449 form (one page);
16. References listed on the PTO-1449 form (with English abstracts for reference nos. 1-3); and
17. Postcard Receipt.

Respectfully submitted,

HAUGEN LAW FIRM PLLP



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